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# Spatial Arrangements of Cyclodextrin Host-Guest Complexes in Solution Studied by <sup>13</sup>C-NMR and Molecular Modelling

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#### Abstract

<sup>13</sup>C-NMR spectroscopic analyses of  $C_s$  symmetric guest molecules in the cyclodextrin host cavity, combined with molecular modelling and solid-state X-ray analysis, provide a detailed description of the spatial arrangement of cyclodextrin host-guest complexes in solution. The chiral cavity of the cyclodextrin molecule creates an anisotropic environment for the guest molecule resulting in a splitting of its prochiral carbon signals in <sup>13</sup>C-NMR spectra. This signal split can be correlated to the distance of the guest atoms from the wall of the host cavity and to the spatial separation of binding sites preferred by pairs of prochiral carbon atoms. These measurements complement traditional solid-state analyses, which rely on the crystallisation of host-guest complexes and their crystallographic analysis.

#### **Keywords**

Anisotropy; cyclodextrin; host guest complexes; <sup>13</sup>C-NMR

### Introduction

Complexation of organic and inorganic compounds with  $\alpha$ -,  $\beta$ -, or  $\gamma$ cyclodextrins and their derivatives[1] is an established tool used in medicine for drug delivery[2-4], in analytical and preparative chemistry for compound separation[5] and in materials science for small molecule detection[6,7]. Association (binding) constants between the host and guest molecules[8-10] are typically measured by <sup>1</sup>H-NMR titrations[11,12] or isothermal titration calorimetry[13]. Single crystals for many hostquest complexes have been prepared, and their structure elucidated by X-ray crystallography[14,15]. Conformations of host-guest complexes in solution have been studied 2D NMR experiments[11] (NOESY, ROESY) by or proposed computationally[16,17] based on dispersion forces and hydrogen bonding between the CD unit and the guest molecule. Determination of ee of chiral guests was achieved by observing the splitting of <sup>1</sup>H-NMR signals of the achiral host upon formation of diastereomeric inclusion complexes[18,19]. Shifting the H-3 and H-5 proton signals of CDs in <sup>1</sup>H-NMR or 2D-NMR ROESY or NOESY experiments can indicate the spatial distances between host and guest atoms and distinguish between enantiomeric guests. However, the evaluation of such interactions is often hampered by overlapping signals.[20]

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#### **Results and Discussion**

In this work, we reveal the conformation (spatial arrangement) of the host-guest complex in solution spectroscopically by measuring the <sup>13</sup>C-NMR spectra of a suitable guest molecule. We decided to take  $C_s$  symmetric guest molecules, CD as a host and measure the <sup>13</sup>C-NMR spectra of these complexes. We expect that the anisotropy of the chiral cavity is expressed by differences in the magnetic shielding of prochiral atoms, resulting in the signal splitting of the prochiral carbons of the guest molecule in <sup>13</sup>C-NMR spectra. 2-Amino adamantane hydrochloride was used as a model guest molecule containing three sets of prochiral carbons (Fig. 1).



**Figure 1.** A model system for the elucidation of the solution state conformations of the host-guest complexes. <sup>13</sup>C-NMR splits of prochiral carbons of the guest molecule **1** in the anisotropic cavity of the host  $\alpha$ -CD in D<sub>2</sub>O solution. Data were acquired at <sup>13</sup>C Larmor frequency of 125 MHz.

The degree of the signal split correlates with the distance of prochiral atoms (carbons) to the host cavity and thus gives information about the conformations of the guest molecule in the host cavity. First, we measured simple 1D <sup>13</sup>C-NMR spectra and indeed observed the split of the peaks of all prochiral carbon signals of the guest upon complexation with  $\alpha$ -CD. The biggest split, 22.5 Hz, was observed for prochiral carbons 8 and 10, depicted in figure 1 in green colour, followed by a split of carbons 3 and 9 in blue and the smallest difference in the magnetic field shielding was detected by carbons 1 and 3 in red (Fig. 1).

The same 1D <sup>13</sup>C-NMR spectra were measured for guest molecule **1** in host cavities of  $\beta$ -CD and  $\gamma$ -CD, showing almost no split of prochiral carbon peaks and suggesting a higher degree of conformational flexibility of the host-guest complexes than for the complex of **1** with  $\alpha$ -CD.



**Figure 2.** 2D ROESY-NMR measurements to estimate the depth of the guest molecule **1** in the  $\alpha$ -CD cavity.

Interatomic distances within the host-guest complex were measured using rotatingframe nuclear Overhauser effect spectroscopy (ROESY) measurements. For the complex of **1** with  $\alpha$ -CD, the cross-peak in the 2D ROESY spectrum between proton 5 of the glucose moiety of the host  $\alpha$ -CD and the protons 6 of molecule **1** reveal deep penetration of the guest into the  $\alpha$ -cyclodextrin's cavity (Fig. 2). All protons of **1** show ROESY cross-peaks with proton 3 of the glucose unit (see page 73 in SI).



**Figure 3.** Molecular model of the host ( $\alpha$ -CD) - guest **1**) complex. The 3D densities show the spatial distribution of prochiral atoms within MD simulations.

For complexes of ligands with  $\alpha$ -CD, we always performed a series of ten classical molecular dynamics (MD) simulations (each lasting 100 ns). Then, we superimposed  $\alpha$ -CD structures from different snapshots of each MD run. Further, the 3D densities, showing the spatial distribution of prochiral atoms of ligands (that rotate and wobble towards  $\alpha$ -CD), were calculated. If two atoms have the same spatial density, then the splitting of their signals in <sup>13</sup>C-NMR spectra cannot occur. On the contrary, if these densities, that belong to a pair of prochiral atoms, are well-separated, then NMR splitting can be expected due to the anisotropic environment of the chiral  $\alpha$ -CD cavity.



**Figure 4.** Studied host-guest complexes and splitting of guests' prochiral carbons in their <sup>13</sup>C-NMR spectra.

The NMR splitting is generally larger if the prochiral guest atoms are located closer to the cavity of  $\alpha$ -CD. In other words, the splitting is larger, if the radius of a density is bigger or the density runs deeper into the  $\alpha$ -CD cavity. In Figure 3, the green and blue densities with well-separated light and dark clouds (belonging to different prochiral carbons from a pair) have larger radii and run deeper into the  $\alpha$ -CD cavity than the red density with mixed light and dark clouds. Accordingly, our NMR experiment only showed splitting for the green and blue atoms (see Figure 1).

Having established the method, we chose various cyclic compounds (noradamantane, adamantane, cyclohexane derivatives) with desired symmetry and measured NMR spectra of these guest molecules **2-8** in  $\alpha$ -cyclodextrin and cyclodextrins with a larger cavity ( $\beta$ -CD and  $\gamma$ -CD) (Fig. 4). <sup>1</sup>H-NMR spectra, in all cases, indicated the interaction of the guest molecule with the host. In cases where the split of prochiral carbons was observed, <sup>13</sup>C-NMR spectra showed which pair of prochiral carbons in the guest molecule is close to the wall of the host's chiral cavity, creating an anisotropic environment. For compound **4**, we were thus able to select representative conformations of the guest molecule **4** in all types of cyclodextrins (Fig. 5) using the spatial densities gained from classical MD simulations.



**Figure 5.** Molecular modelling of the host-guest complexes of compound **4** with  $\alpha$ -CD,  $\beta$ -CD and  $\gamma$ -CD.

Compounds **1-8** (as hydrochloride salts or free bases)[21] were attempted to cocrystallise with  $\alpha$ -,  $\beta$ - and  $\gamma$ -cyclodextrins. Compound **2** (as a free amine) crystallised in the supramolecular capsule of two  $\alpha$ -cyclodextrins, and the mono-crystal was subjected to X-ray analysis. This experiment was performed to compare the solidstate structure of the guest **2** –  $\alpha$ -CD host complex with its proposed solution state conformations (Fig. 6).



**Figure 6.** X-ray analysis of  $\alpha$ -CD supramolecular capsule with noradamantane-3methylene-amine **2** guest molecule (side and top view).

## Conclusion

We have demonstrated that simple <sup>13</sup>C-NMR analyses of properly chosen  $C_s$  symmetric compounds varying in size can be used to estimate the host-guest spatial arrangement in solution and extrapolated for compounds with similar size and bonding nature.

# **Supporting Information**

Supporting Information contains general information, NMR spectra, NMR study, computational study and crystallographic data collection and refinement details.

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# **Data Availability Statement**

All data that supports the findings of this study is available in the published article and/or the supporting information to this article.

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Signal splitting of prochiral carbons in <sup>13</sup>C-NMR spectra of prochiral guests in a cyclodextrin cavity in combination with molecular modelling reveals geometries of the complexes in solution.