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**Preprint Title** Nanomechanics of few-layer materials: do individual layers slide upon folding?

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# 1 **Nanomechanics of few-layer materials: do individual layers slide upon** 2 **folding?**

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## 12 **Abstract**

13 Folds naturally appear on nanometrically thin (also called 2D) materials after exfoliation, eventu-  
14 ally creating folded edges across the resulting flakes. In the present work, we investigate the ad-  
15 hesion and flexural properties of single and multilayered 2D materials upon folding. This is ac-  
16 complished by measuring and modeling mechanical properties of folded edges, which allow the  
17 experimental determination of the scaling for the bending stiffness ( $\kappa$ ) of a multilayered 2D mate-  
18 rial with its number of layers ( $n$ ). In the case of talc, we obtain  $\kappa \propto n^3$  for  $n \geq 5$ , establishing that  
19 there is no interlayer sliding upon folding, at least in this thickness range. Such a result, if applica-  
20 ble to other materials, would imply that layers in folds might be either compressed (at the inner part  
21 of the fold) or stretched (at its outer part), leading to changes in their vibrational properties relative  
22 to a flat flake. This hypothesis was confirmed by near-field tip-enhanced Raman spectroscopy of a  
23 multilayer graphene fold.

## 24 **Keywords**

25 atomic force microscopy (AFM); Raman spectroscopy; nanostructured materials; analytical meth-  
26 ods; molecular dynamics (MD)

## 27 **Introduction**

28 Layered materials such as graphite, talc, and transition metal dichalcogenides, held together by  
29 strong covalent bonds within layers and by relatively weak van der Waals interlayer interactions,  
30 have been the primary source of 2D materials [1]. Such 2D materials depict unusual mechanical  
31 properties associated with their flexural properties [2-7]. For instance, 2D materials, unlike 3D  
32 materials, can bend over themselves to form folds [4-7], whose curvature radii are functions of the  
33 ratio between the interlayer adhesion energy ( $\alpha$ ) and the layer bending stiffness ( $\kappa$ ) [4]. Uncertain-  
34 ties in measured values of  $\alpha$  can be large, experimental values of  $\alpha$  for graphite ranging from 0.12  
35 up to 0.72 have been reported N/m [8-15]. Regarding the bending stiffness  $\kappa$ , experimental values  
36 have been obtained through radial deformations [16], lattice dynamics [17], deformations of sus-  
37 pended layers [2,5], and bubbles profiles[18]. A recent and interesting issue regarding the bending  
38 stiffness is its dependence on the thickness or the number of layers of the 2D material [18].

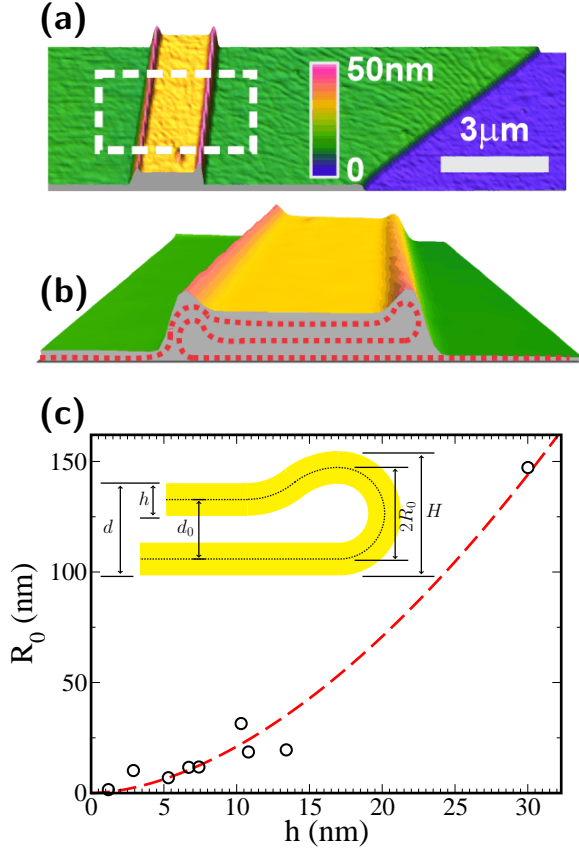
39 The quantification and understanding of the structural/dynamic response of multilayered 2D mate-  
40 rials upon bending is also an important issue regarding technological applications, such as flexible  
41 reinforcements for brittle biomedical implants Mota et al.[19] and ultralight resonators suited as  
42 transducers of extremely small force or mass changes Will et al.[20]. It is important to emphasize  
43 that the quality factor of the resonators depends on its maximum resonant frequency, which is in-  
44 trinsically related to the flexural properties of the employed 2D material. These properties strongly  
45 depend on the in-plane movement of individual layers upon bending, which can also generate heat  
46 and, therefore, reduce the performance of those devices.

47 In this work, we present a method to obtain interlayer adhesion energies and the bending stiffness  
48 of 2D materials by experimentally probing the mechanical response of folded edges to deformation.  
49 A folded edge is defined as an edge region of the 2D material where it folds over itself during the

50 exfoliation process. Our method is based on AFM measurements of the geometry and mechanical  
51 response of folded edges, and on the fitting of the experimental data by an analytical continuum  
52 model parameterized solely by  $\alpha$ ,  $\kappa$ , and the total thickness  $d$  of the 2D folded material. The appli-  
53 cability of the analytical model is corroborated by comparison with classical molecular dynamics  
54 simulations. Because folds naturally occur in flakes of varying thickness, corresponding to mul-  
55 tilayers with a different number of primitive layers, the proposed method provides a direct way to  
56 investigate the scaling of the bending stiffness of 2D materials with flake thickness (or, equiva-  
57 lently, with the number of layers). In the case of talc, we obtain  $\kappa \propto h^3$  for materials thicker than  
58 five layers, establishing that there is no interlayer sliding upon folding, at least in this thickness  
59 range. Such result implies that layers in folds might be either compressed or stretched, leading to  
60 changes in their vibrational properties relative to a flat flake, which was confirmed by near-field  
61 tip-enhanced Raman spectroscopy of multilayer graphene folds.

## 62 **Results and Discussion**

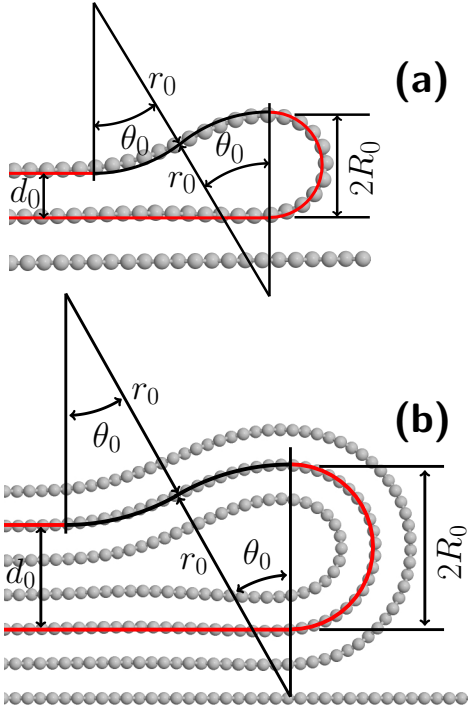
63 A 2D folded material deposited on a substrate presents a cross-section geometry similar to that in-  
64 dicated in Fig. 1 (see, for instance, Wang et al [18] and references therein for electron microscope  
65 images). Fig. 1(a) shows an AFM image of a talc flake (green shades) with approximately 10 lay-  
66 ers, which was exfoliated onto a Si oxide substrate (blue shades). During the exfoliation/deposition  
67 processes, such a talc flake folded back and forth over itself, creating a well-defined folded stripe,  
68 shown in yellow shades. Fig. 1(b) shows a 3D-perspective zoom of the dashed rectangle region  
69 in Fig. 1(a). The red dotted line schematically indicates the back-and-forth folding process which  
70 yielded such double-edged folded stripe. Some morphological parameters of a fold can be read-  
71 ily determined from the AFM images, such as its maximum height  $H$ , its total thickness  $d$ , and its  
72 layer thickness  $h$  (see the inset in Fig. 1(c) for parameter definition). Fig. 1(c) shows the measured  
73 values of two of those parameters,  $R_0 = (H - h)/2$  and the flake thickness  $h$  for nine talc folds. The  
74 measured values of thickness  $h$ , from 1.2 nm to 30.0 nm, indicate that the measured folds involve  
75 materials from monolayer talc to  $\approx 30$ -layer talc. The corresponding values of the radius  $R_0$  span



**Figure 1:** (a) AFM image of a double-folded edge in a talc flake (green-yellow shades) with  $\approx 10$  layers deposited on a Si-SiOx substrate (blue shades). (b) Perspective view of the region inside the rectangle shown in (a). The dotted line in (b) is a guide for the eye, indicating the formation of the double-folded edges. (c) Values of  $R_0$  for fold edges in talc flakes whose thickness are between 1 and 30 nm. In red, fitted curve  $R_0 = ah^b$ , where  $b = 1.75$  and  $a = 0.38$  ( $\text{m}^{-0.75}$ ). the inset shows a schematic drawing of a folded edge showing the relevant measured quantities ( $d$ ,  $h$  and  $H$ ).  $R_0 = (H - h)/2$  and  $d_0 = d - h$ , are parameters for the proposed continuous model.

76 from 2.15 nm to 162 nm, that is, an increase of two orders of magnitude. The figure also shows a  
 77 fitted curve  $R_0 = ah^b$ , where  $b = 1.75$  and  $a = 0.38$  ( $\text{m}^{-0.75}$ ).

78 To obtain  $\kappa$  (bending stiffness) and  $\alpha$  (adhesion energy) from the AFM data, we propose a contin-  
 79 uum variational model (see Supporting Information: Deposited folded edges) for the folded edges  
 80 with the geometry depicted in Fig. 2. This figure shows both cross-section geometries for folded  
 81 edges in graphene monolayer, panel (a), and in three-layered graphene, panel (b), obtained through  
 82 MD simulations (details about MD simulations are found in Supplementary Information). As can  
 83 be seen in Fig. 2 (a), the model geometry consists of a sequence of straight lines and circular arcs



**Figure 2:** Figure 2. Gray circles: carbon atom positions in cross-sections of folded edges in graphene monolayer, panel (a), and in three-layered graphene, panel (b), as obtained through MD simulations. In both panels the red and black lines that superimpose the atomic positions depict the geometry of folded edges within our variational model, which consists of a sequence of straight lines and circular arcs with radii:  $R_0$  (red arcs) and  $r_0$  (black arcs). In panel (a), the values of  $R_0$  and  $r_0$  are obtained through our model, Eqs. (1) and (2), using experimental values of  $d_0$ ,  $\kappa$  and  $\alpha$ . In panel (b),  $R_0$  and  $r_0$  are obtained through MD simulations.

84 with two possible radii: the external radius  $R_0$  (red arcs) and the radius  $r_0$  of a half-soliton-like  
85 region (black arcs). Within our model, the concave up and the concave down arcs of half-soliton-  
86 like region always have the same radius and length. Therefore, the model lines must pass in the  
87 middle of the flake for folded edges in flakes more than one atom thick, as it is shown in the inset  
88 of Fig. 1 (c) and in Fig. 2 (b) for the three-layered folded edge. The panels of Fig. 2 show that the  
89 model geometry describes very well the morphology of folded edges in flakes with different thick-  
90 nesses (the model lines nearly superimpose atomic positions in both panels of Fig. 2). Within this  
91 model, mathematical relations between the geometrical parameters ( $R_0$ ,  $r_0$ , and  $d_0$ ), and the adhe-  
92 sion ( $\alpha$ ) and flexural ( $\kappa$ ) properties can be obtained variationally. The variational procedure within  
93 the model consists of the minimization of an energy functional that contains two terms: the curva-

94 ture energy  $E_c = \int \kappa/(2R^2)dS$  where  $R$  is the local curvature radius and  $\kappa$  is the bending stiffness,  
 95 and the adhesion energy  $E_a = \alpha S_a$ , where  $S_a$  is the contact area and  $\alpha$  is the adhesion energy per  
 96 the unit area between the 2D material and the precursor substrate. As a result of the variational  
 97 procedure, we obtain (see Supporting Information):

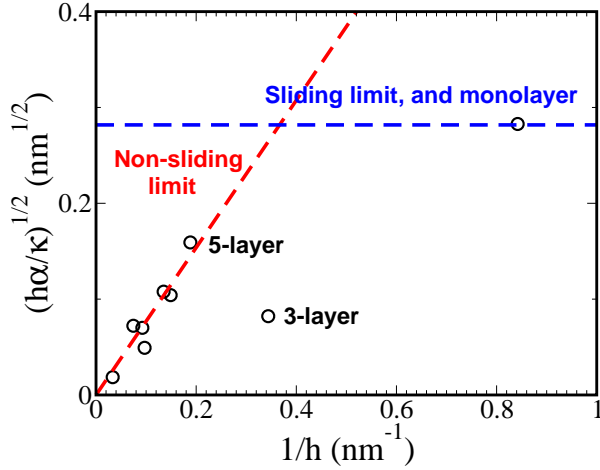
$$98 \quad r_0 = \sqrt{\frac{3\kappa}{2\alpha}} \quad (1)$$

99 and

$$100 \quad 3\pi - \frac{3\pi}{2R_0^2} \left(\frac{\kappa}{\alpha}\right) + \frac{8}{\sqrt{2R_0 - d}} \left(\frac{3\kappa}{2\alpha}\right)^{1/4} = 0. \quad (2)$$

101 Equations (1) and (2) can be used to determine either the folded edge geometry from the prop-  
 102 erties of the 2D material ( $\kappa/\alpha$  and thickness) or vice-versa. In the particular case of the folded  
 103 edge in graphene monolayer shown in 2 (a), we use literature values for  $\alpha = 0.37$  N/m [14] and  
 104  $\kappa = 0.231$  aJ [21] to determine  $r_0$  and  $R_0$ . On the other hand, in the case of the folded edge in  
 105 three-layered graphene, we use the values of  $R_0 = 0.81$  nm and  $d_0 = 1.01$  nm from MD simulations  
 106 to obtain  $\sqrt{\kappa/\alpha} = 1.88$  nm. Considering  $\alpha$  the same for both graphene folded edges, we thus found  
 107  $\kappa = 1.3$  aJ for three-layered graphene, which is roughly 6 times the value reported for the graphene  
 108 monolayer ( $\kappa = 0.231$  aJ). Thus, MD results indicate that the scaling of  $\kappa$  with the number of lay-  
 109 ers in multi-layered graphene is non-linear. The scaling of  $\kappa$  in a real 2D material will be discussed  
 110 below.

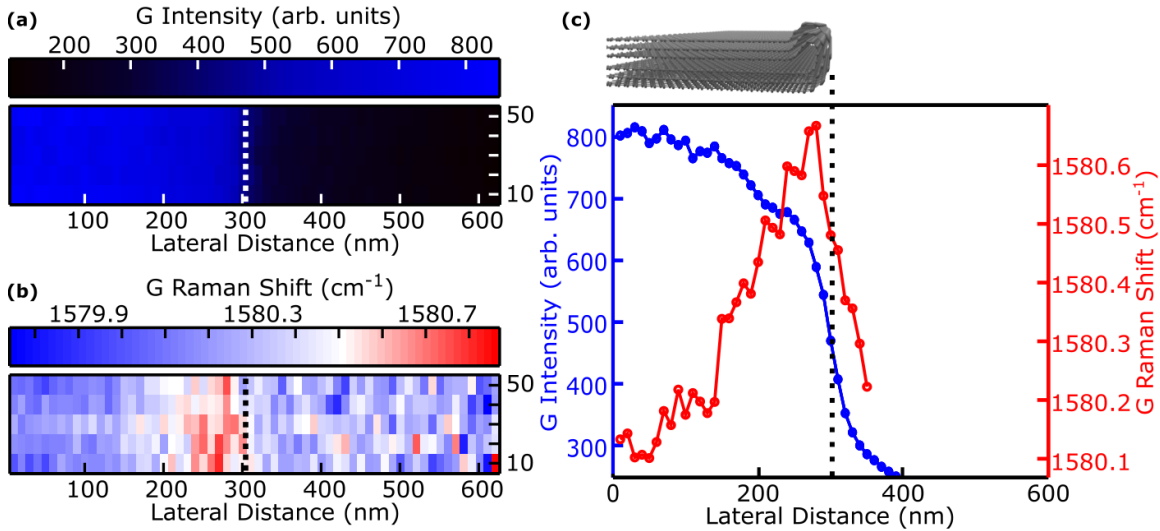
111 Equation 2 allows us to obtain the ratio  $\kappa/\alpha$  for talc folds through measured values for  $R_0$  and  $d_0$ .  
 112 Fig. 3 shows the quantity  $Q = \sqrt{h\alpha/\kappa} = \sqrt{h}/\sqrt{\kappa/\alpha}$  versus  $1/h$  for the nine measured talc sam-  
 113 ples.  $h$  is a directly measured quantity (see Fig.1), and  $\sqrt{\kappa/\alpha}$  as we mentioned earlier, is obtained  
 114 from Eq. 2. Assuming that  $\alpha$  is constant for a given material, the behavior of  $Q$  as a function of  $h$ ,  
 115  $Q(h)$ , will be solely dependent on the behavior of  $\kappa$  as a function of  $h$ . In the limit of thick materi-  
 116 als, we would expect that adjacent layers do not slide relative to each other upon an elastic bend-



**Figure 3:**  $\sqrt{h\alpha/\kappa} = \sqrt{h}/\sqrt{\kappa/\alpha}$  versus  $1/h$  for the nine measured talc samples.  $h$  is a directly measured quantity (see Fig. 1), and  $\sqrt{\kappa/\alpha}$  is obtained from Eq. 2 with the measured values of  $R_0$  and  $h$ . The red and blue lines correspond to the ideal non-sliding ( $\kappa \propto h^3$ ) and the sliding ( $\kappa \propto h$ ) limits, respectively. The values for the thinnest samples (monolayer, 3-layer and 5-layer talc) are explicitly indicated.

117 ing deformation. In this non-sliding limit, we expect that  $\kappa \propto h^3$ , as predicted by the classical  
118 Euler-Bernoulli beam theory. In another limit, which we will call a sliding limit, we will assume  
119 the possibility that adjacent layers freely slide upon bending deformations. In this limit, which im-  
120 plicitly includes the monolayer case, we obtain  $\kappa \propto h$ . Both limits have been recently considered  
121 in the analysis of experimental profiles of bubbles in 2D materials [18]. In our present analysis,  
122 the functional form of  $Q$  leads to two asymptotic limits as a function of  $1/h$ :  $Q \propto 1/h$  in the non-  
123 sliding limit, and  $Q = \text{constant}$  in the sliding limit. Both limits are indicated in Fig. 3 as red and  
124 blue lines, fitted respectively to the seven thickest samples and the monolayer sample. Therefore,  
125 Fig. 3 indicates that individual layers of multilayer talc with at least more than 4 layers do not slide  
126 upon folding. In contrast, we were not able to observe any sample behaving according to the pro-  
127 posed sliding limit, besides the (trivial, by definition) monolayer case. The 4- and 2-layer cases  
128 were absent in our samples, and the 3-layer case shows an anomalous behavior relative to the other  
129 samples, being much stiffer than expected: it might, for instance, possess a distinct morphology.  
130 From the above, we conclude that thick enough talc flakes behave like rigid objects, without in-  
131 terlayer sliding. Therefore, upon fold formation, the layers at the inner part of the fold will have  
132 a compressive in-plane strain, and those at the outer part will have an extensive (tensile) in-plane





**Figure 4:** (a) Near-field Raman image of the intensity of the G peak as a function of the lateral distance, where the blue and dark blue regions correspond to the folded graphene flake and the substrate, respectively, and the dashed white line marks the location of the edge of the fold. (b) Map of relative Raman shift, where blue corresponds to lower frequencies and red to higher frequencies. (c) Intensity (blue) and relative Raman shift (red) of the G peak as a function of the lateral distance.

133 strain. Such strains could modify the vibrational properties of a fold relative to the (flat) bulk of the  
 134 flake and it should be universal to any 2D material, and not restricted to talc. This hypothesis was  
 135 investigated employing a near-field tip-enhanced Raman spectroscopy (TERS) setup [22,23], which  
 136 can probe strain variations across the edge of a folded graphene flake( Fig. 4). Panel (a) shows a  
 137 near-field Raman map of the intensity of the G peak, where the blue and dark blue regions corre-  
 138 spond to the folded graphene flake and the substrate, respectively, and the dashed white line marks  
 139 the location of the edge of the fold. Fig. 4 (b) shows a map of the Raman shift for the same fold,  
 140 it exhibits displacements towards high frequencies near the edge of the fold. To detect such dis-  
 141 placements clearly, we average the horizontal lines in Fig. 4 (a) and (b), and depict the result in  
 142 Fig. 4(c), where we plot the relative Raman shift (red curve) and intensity (blue curve) of the G  
 143 peak as a function of the lateral distance. The vertical dotted black line in this panel marks the po-  
 144 sition of the folded edge, where a change in the position of the G peak is also observed. It has been  
 145 previously shown that compressive strain in graphene causes displacements in the G peak toward  
 146 high frequencies [24]. For the folded few-layer graphene edge, both the outermost (tensile) and in-  
 147 nermost (compressive) regions contribute to the Raman spectrum. However, the innermost layers

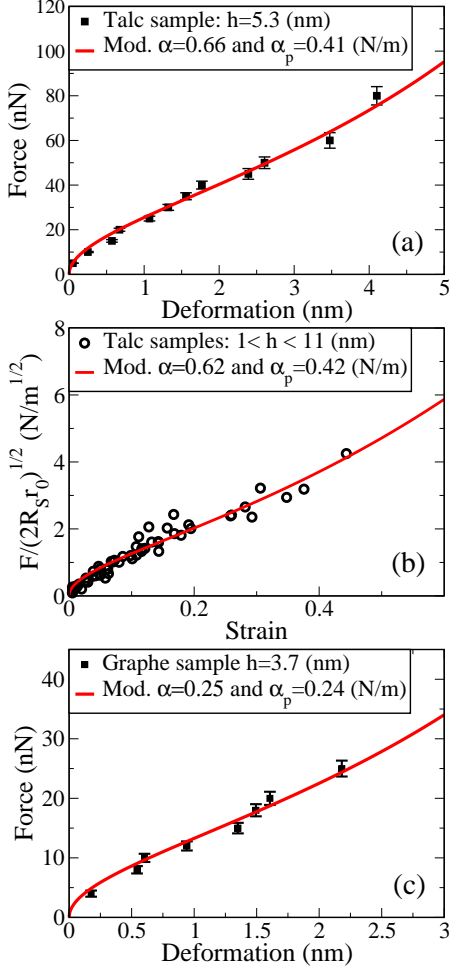
148 have smaller curvature radii compared to the outermost, which would give a net compressive con-  
 149 tribution to the Raman. Therefore, the observed positive displacement in the G mode is consistent  
 150 with our hypothesis that the outermost and innermost graphene regions in a multi-layered graphene  
 151 folded edge tend to be stretched and compressed, respectively.

152 We have so far addressed the ratio  $\kappa/\alpha$  of talc folds, obtained from Eq. (2) with the measured val-  
 153 ues of  $R_0$  and  $d$  for uncompressed folds. As already discussed, the quantity  $\kappa/\alpha$  allowed us to ver-  
 154 ify a prevalence of the non-sliding behavior, independently verified by Raman measurements. One  
 155 might, however, be also interested in obtaining the absolute values of  $\kappa$  and  $\alpha$  from the AFM. In  
 156 fact, to the best of our knowledge, no experimental measurement of  $\alpha$  for talc has been reported so  
 157 far. Now we will show that it is possible to obtain the value of  $\alpha$  from AFM force curve measure-  
 158 ments on folded edges. According to our model (see Supporting Information: Compressed folded  
 159 edges), when a spherical probe compresses a folded edge, the mechanical response is given by:

$$\begin{aligned}
 \frac{F(D)}{\sqrt{2R_s}} = & \left[ (\alpha_p - \alpha) \sqrt{\frac{2R_0 - d}{16r_0}} - (\alpha + \alpha_p) \frac{\pi}{2} \right] \sqrt{D} \\
 & + \frac{2r_0^2 \pi \alpha}{3} \left[ \frac{\arctan \sqrt{\frac{D}{2R_0 - D}}}{(2R_0 - D)^{3/2}} + \frac{\sqrt{D}}{(2R_0 - D)2R_0} \right] \\
 & + \left[ \frac{(\alpha_p - \alpha)(2R_0 - d - D)}{8\sqrt{r_0}} + \frac{4\alpha\sqrt{r_0}}{3} \right] \ln \left( \frac{\sqrt{2R_0 - d} + \sqrt{D}}{\sqrt{2R_0 - d} - \sqrt{D}} \right).
 \end{aligned} \tag{3}$$

161 where  $D$  is the deformation caused by the probe,  $R_s$  is the probe radius, and  $\alpha_p$  is the adhesion  
 162 energy per unit area between the 2D sample and the probe. Despite its length, the Eq. (3) con-  
 163 tains only two adjustable parameters -  $\alpha$  and  $\alpha_p$  - as the AFM height profiles provide  $R_0$  and  $d$ ,  
 164 while  $r_0$  can be obtained through Eqs. (1) and (2). Panel (a) of Figure 5 shows the fit of Eq. (3)  
 165 to AFM force vs deformation measurements on a 5.3 nm thick talc fold. The obtained value of  
 166  $\alpha = 0.60$  N/m is consistent with the few theoretical results available (0.30 N/m [25] and 0.98 N/m  
 167 [26]). Also, the obtained value of  $\alpha_p = 0.42$  N/m indicates that the interaction between talc and the  
 168 silicon probe is smaller than the interaction between talc layers. To combine data of several force

169 curve measurements in a single graph, we plotted the re-scaled force  $F/\sqrt{2R_s r_0}$  as a function of the  
 170 strain  $D/(2R_0 - d)$  as shown in Fig. 5(b). The red curve in this figure represents our model (see  
 171 Supporting Information equation S13). The resulting values of  $\alpha = 0.62$  N/m and  $\alpha_p = 0.40$  N/m  
 172 are similar to those obtained in the fitting of panel (a), showing consistency between those results.



**Figure 5:** (a): best fit of Eq. 3 to measured AFM forces and deformations on a 5.3 nm thick talc fold. (b): best fit of Eq. (3) to forces and deformations in folded edges of several talc flakes, with thicknesses of 1.2, 2.9, 5.3, 6.7, 7.4 and 10.9 nm. The vertical axis is the re-scaled force,  $F/\sqrt{2R_s r_0}$ , and the horizontal axis is the strain  $S = D/(2R_0 - d)$  (see Supporting Information). (c): best fit of Eq. (3) to AFM measurements on a fold in a 11-layer-thick graphene flake.

173 Unlike talc, there are several results in the literature on the interlayer adhesion energy of graphene  
 174 [10-15], providing good references to evaluate the  $\alpha$  predicted by of our model. Figure 5(c) shows  
 175 the best fit of Eq. (3) to AFM measurements for a 11-layer-thick graphene fold. To fit the exper-

176 imental data, we used  $R_0 = 4.5$  nm and  $d = 5.25$  nm obtained from the height profile and  $r_0 =$   
177 11.7 nm obtained through Eqs. (1) and (2). The obtained value of  $\alpha = 0.25$  N/m is within the range  
178 of values obtained experimentally (from 0.12 up to 0.72 N/m [8-15]). It is worth mentioning that  
179 our result ( $\alpha=0.25$  N/m) compares well with other direct experimental determination of  $\alpha$  (0.27  
180 N/m [12] and 0.37 N/m [14]) in which layers of graphene in highly oriented pyrolytic graphite were  
181 mechanically manipulated using a probe. Besides, the value obtained for the interaction between  
182 graphite and the silicon probe ( $\alpha_p=0.24$  N/m) is consistent with the values reported in the litera-  
183 ture [27,28] (0.28 and 0.37 N/m).

## 184 **Conclusions**

185 In summary, we have shown that it is possible to obtain the interlayer adhesion energy and the  
186 bending stiffness of 2D layered materials by fitting results of AFM force curves on naturally oc-  
187 ccurring folded edges to an expression predicted by a simple model for those edges. The obtained  
188 interlayer adhesion energy for graphene (0.25 N/m) and talc (0.62 N/m) are in good agreement with  
189 recent experimental results [12,14], and theoretical predictions [25,29], respectively. The proposed  
190 method also allows the investigation of bending stiffness dependence with the flake thickness. For  
191 talc flakes with a thickness equal or larger than 5.3 nm, we obtained a scaling relation ( $\kappa \propto h^3$ ) that  
192 is consistent with the Euler-Bernoulli beam theory. Such a result implies that, in this non-sliding  
193 regime, layers in 2D materials folds are either stretched (at the outer part of the fold) or compressed  
194 (at the inner part). This was confirmed by near-field Raman spectroscopy. Even though it is ap-  
195 plied here to homo-layers, the present methodology could also, bring invaluable insights about the  
196 interlayer interaction in the growing field of 2D materials hetero-layers, probing the mechanical  
197 properties of typical interfaces such as graphene/hBN, graphene/TMDs, hBN/TMDS or any other  
198 technologically relevant two-dimensional heterostructure.

## 199 **Supporting Information**

200 Supporting information features the theoretical models for deposited and compressed folded edges,

201 the experimental methods ( including sample preparations, SPM characterization and Near-field  
202 tip-enhanced Raman spectroscopy) and the MD simulations computational details.

203 Supporting Information File 1:

204 File Name: S1.pdf

205 File Format: PDF

206 Title: Models, experimental part and computational details

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