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**Preprint Title** A high yield gold nanoparticle-based DNA isolation method for human papillomaviruses genotypes from cervical cancer tissue samples

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1 A high yield gold nanoparticle-based DNA isolation method for human  
2 papillomaviruses genotypes from cervical cancer tissue samples

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27 **ABSTRACT**

28 Gold nanoparticles (AuNPs) are commonly used in biosensors of various kinds. The  
29 purification of DNA from cancer tissues is an important step in diagnostic and therapeutic  
30 development, but current methods are not optimal. Many cervical cancer patients are also  
31 susceptible to high-risk human papillomavirus (HR-HPV) infection. Accurate viral diagnosis  
32 has so far relied on the extraction of adequate amounts of DNA from formalin-fixed, paraffin-  
33 embedded (FFPE) tissue samples. Since the sensitivity and specificity of commercially  
34 available purification kits are not optimal, we designed a DNA purification method based on  
35 AuNPs to purify sufficient amounts of HR-HPV DNA from cervical cancer tissue samples.  
36 AuNPs were coated with a series of oligonucleotide probes to hybridize to specific DNA  
37 sequences of HR-HPV genotypes. With this method, we recovered 733 out of 800 copies of  
38 type-specific HPV DNA with complete specificity, compared to 36 copies with a standard  
39 commercial kit (Qiagen FFPE).

40 **Keywords**

41 Biosensor; Cervical cancer; Gold nanoparticle; Human papillomavirus; Oligonucleotide probe;  
42 Paraffin embedding

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## 50 **1. Introduction**

51 Gold nanoparticles (AuNPs) have advantageous characteristics of chemical addressability  
52 [1,2] and optical and electronic detectability that makes them useful in a wide variety of  
53 biosensor and therapeutic applications [3-7]. In particular, the facile attachment of  
54 oligonucleotide probe sequences (to give constructs designated here as Oligo-AuNPs) provides  
55 for high-affinity sensing or extraction of DNA in bacterial-based infections [8], parasitic  
56 diseases [9], viruses [10], and cancer biomarkers [11,12].

57 Among women, cervical cancer with a high incidence rate is significantly associated with  
58 high-risk human papillomavirus (HR-HPV) [13,14]. To investigate the role of HPV in cervical  
59 cancer, archived tissues from diagnostic pathology laboratories are a valuable resource [15].  
60 Many such samples are preserved in the form of Formalin-Fixed, Paraffin-Embedded (FFPE)  
61 tissue, the standard method of preservation for many years [16]. While FFPE treated samples  
62 are very useful in immunohistochemistry (IHC) and hematoxylin and eosin (H&E) staining,  
63 they are difficult to analyze by molecular diagnostic methods such as microarray analysis and  
64 quantitative PCR because of significant damage to nucleic acids and formaldehyde-induced  
65 cross-linking in FFPE processing [17,18].

66 In order to extract nucleic acid from FFPE tissues, paraffin has to be removed or melted  
67 [19,20], giving rise to highly variable yields and quality of the extracted nucleic acid, which is  
68 highly dependent on sample age, fixation condition and size of tissue [21,22]. To overcome  
69 these problems, a number of methods and protocols have been developed to help extract nucleic  
70 acids from FFPE blocks, and to prepare it for downstream analyses [23-25]. Nearly all  
71 commercially available FFPE sample preparation and purification kits are designed to extract  
72 total RNA or DNA from the tissue, and a number of methods have been proposed to overcome  
73 problems experienced during these procedures [20]. We chose instead to develop a method to  
74 extract specific target sequences from such tissue, so as to increase the reliability, accuracy,

75 and sensitivity of PCR analysis. While HPV DNA can be specifically detected and amplified  
76 for diagnostic purposes using Oligo-AuNP probes [26,27], to our knowledge no protocols have  
77 been previously reported for the type-specific extraction of HPV DNA from FFPE tissue.

78

79

## 80 **2. Results and discussion**

### 81 **2.1. Synthesis and characterization of Oligo-AuNPs**

82 To begin the preparation of AuNPs bearing HR-HPV type-specific complementary  
83 oligonucleotide probes, spherical gold nanoparticles were synthesized by co-precipitation in  
84 the presence of sodium citrate, with size controlled by addition of sodium borohydride [28].  
85 Synthesized AuNPs had UV-Vis spectra with a maximum peak at 510 nm (Figure 1).  
86 Morphology and size distribution of dispersed nanoparticles were evaluated by TEM analysis  
87 (Figure 3A). The obtained AuNPs were spherical and diameters of particles were measured in  
88 the range of 3 nm to 9 nm with a mean diameter of 5.1 nm (n=50). Dynamic light scattering  
89 was done to evaluate the hydrodynamic diameter of AuNPs. As shown in Figure 2, the diameter  
90 of nanoparticles was measured dominantly from 2.96 nm up to 15.19 nm. The AuNPs  
91 concentration ( $C_{AuNP}$ ) was estimated from particle size ( $d = 5.1$ ) and absorbance ( $A = 0.522$ )  
92 using theoretical relationship of eq (1), with  $C_1 = -4.75$  and  $C_2 = 0.314$  [29].

$$93 \quad d = \left( \frac{A(5.89 \times 10^{-6})}{C_{AuNP} \exp(C_1)} \right)^{1/C_2} \quad (1)$$

94  $C_{AuNP}$  was calculated as 2.13  $\mu\text{g/ml}$ .

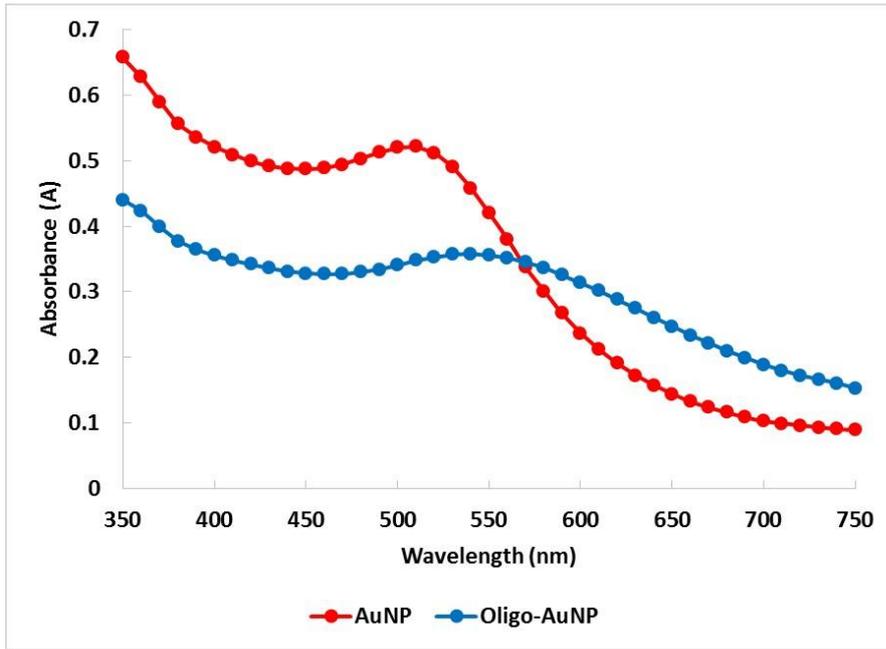
95 To discriminate HPV types 16, 18, 31, 33, 45, 52, and 58, five sets of oligonucleotide probes  
96 (35-39 nucleotides in length, designed to achieve a  $T_m$  for the binding of each probe to its  
97 complementary sequence of approximately 45°C) were created to target the variable L1 region  
98 of the HPV genome. One common probe was designed for the purification of HPV DNA types  
99 18, 31, and 33, and the other four sets were designed for the purification of HPV DNA types

100 16, 45, 52, and 58. The sequences of all probes used are shown in Table S1 (see Supporting  
101 Information).

102 A thiol-terminated version of each oligonucleotide was obtained from commercial sources  
103 and was incubated with the AuNPs under standard conditions (PBS buffer, pH 7.4, 37°C)  
104 [30,31]. Unbound oligonucleotide probes were removed by washing twice with phosphate  
105 buffer (pH 7.0). Conjugation between AuNPs and the oligonucleotide probes resulted in clear  
106 supernatant with dark red oily precipitate. The red oily precipitate was dispersed in 0.05 M  
107 NaCl solution. Following interaction with the oligonucleotide,  $\lambda_{\max}$  of AuNPs changed from  
108 510 nm to 540 nm (Oligo-AuNP) (Figure 1). The efficiency of thiol-oligo binding to the  
109 particles was determined using the following equation:

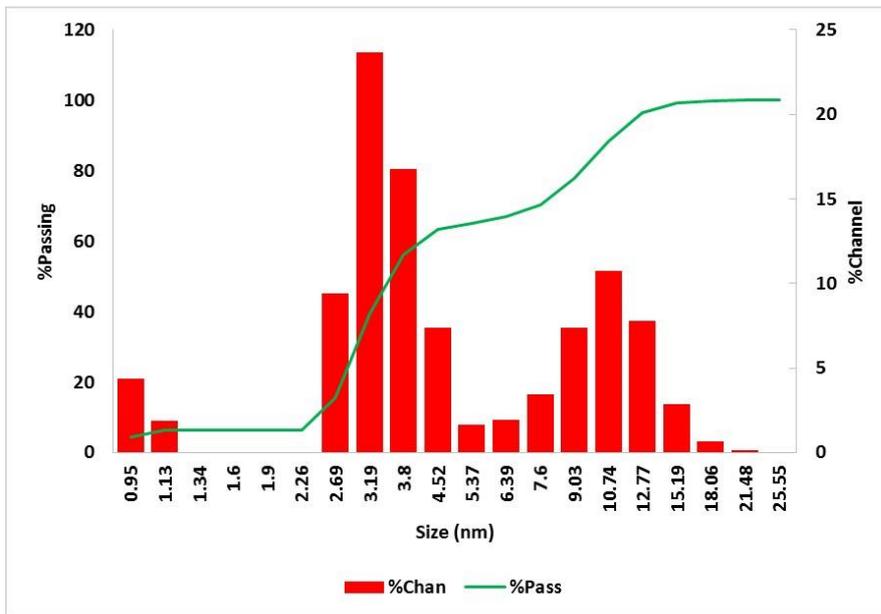
$$110 \text{ Efficiency} = \frac{A_0 - A_1}{A_0} \times 100 \quad (2)$$

111 in which A0 is the absorbance of oligonucleotide probe ( $OD_{260 \text{ nm}} = 0.746$ ) and A1 is  
112 absorbance of the first obtained supernatant ( $OD_{260 \text{ nm}} = 0.030$ ). Uv-vis detection of unbound  
113 oligonucleotide in the supernatant showed nearly 95% of oligonucleotide probes to be attached  
114 to the gold nanoparticles (Figure 4). This maximum loading was obtained at an AuNP  
115 concentration of 1.06  $\mu\text{g/ml}$  and thiol-modified oligonucleotide concentration of 20 $\mu\text{M}$ ,  
116 performed in 20  $\mu\text{L}$  volume. Minimal aggregation of oligo-AuNP particles was observed, with  
117 an average size increase to 12.6 nm diameter and a range of 6-22 nm (n=100, Figure 3B). The  
118 zeta potential of Oligo-AuNPs was found to be -137.7 mV compared to -67 mV before  
119 modification. It should be noted that other nanoparticle sizes have not been tested, and may not  
120 perform similarly [32]



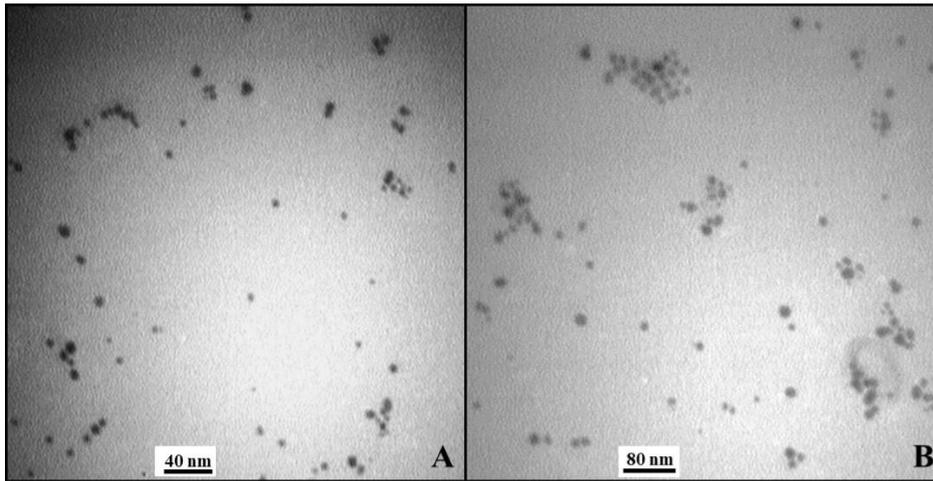
121

122 **Figure 1**, UV-vis spectra of AuNP and AuNP probes.



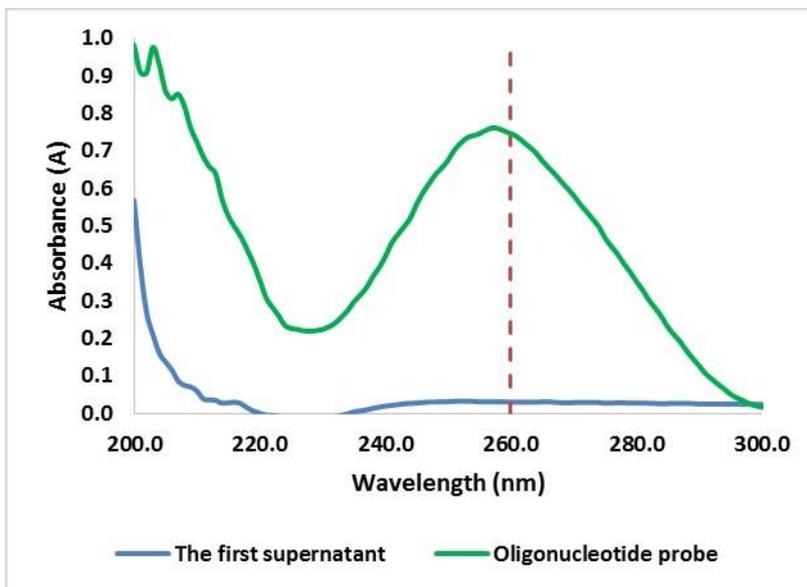
123

124 **Figure 2**, Dynamic light scattering of AuNPs.



125

126 **Figure 3**, (A) TEM of AuNPs, (B) TEM of Oligo-AuNP.



127

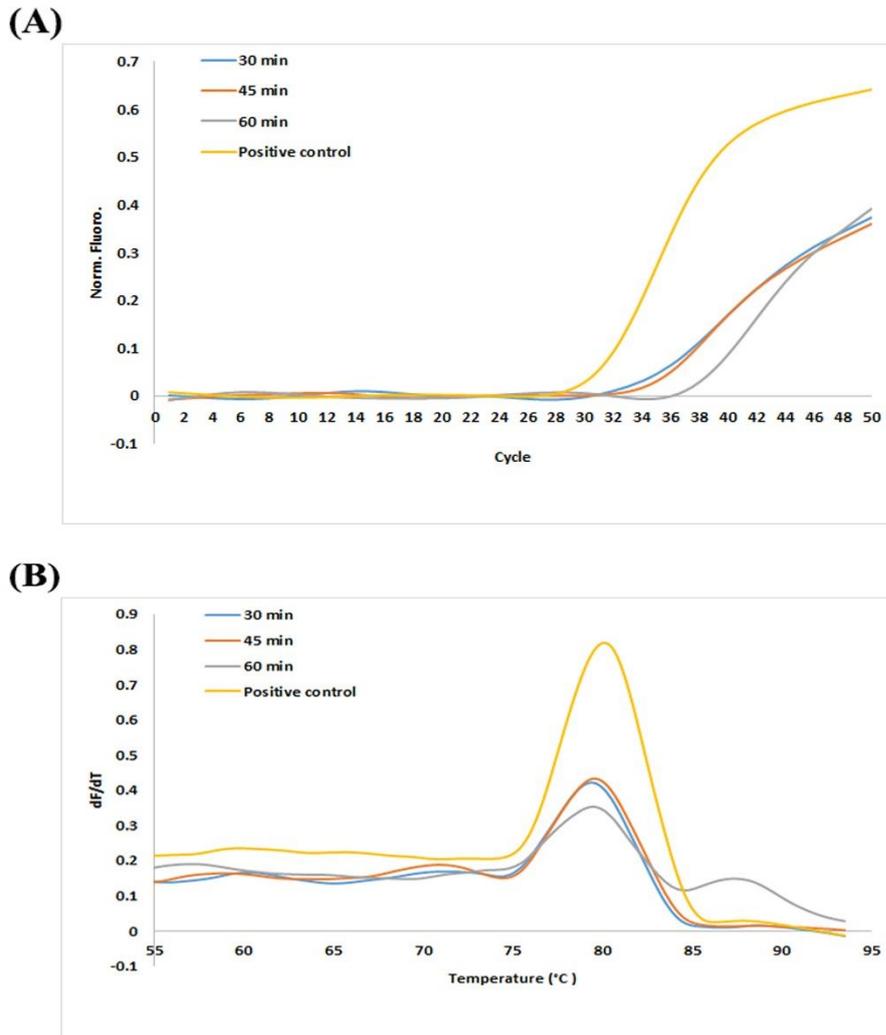
128 **Figure 4**, Optical density measurement for the calculation of loaded gold nanoparticles with  
 129 oligonucleotide probes at wavelength of 260 nm.

130

## 131 2.2. Optimization of hybridization conditions

132 Hybridization for 30 minutes at a temperature of 45°C was found to be optimal for all probes  
 133 after a series of tests at differing temperatures and annealing times (Figure 5), allowing the  
 134 assay to be performed in a single tube containing a mixture of five sets of oligonucleotides.

135 Under these optimized conditions, a real-time PCR assay targeting a 145 bp of HPV L1 gene  
136 was able to detect 504 out of 800 copies/ $\mu$ L of purified HPV DNA with an efficiency of >90%.



137

138 **Figure 5**, Optimization of hybridization time. (A) Effects of DNA purification incubation time on cycle  
139 threshold (Ct) values; (30 min: Ct=31.93), (45 min: Ct=33.16), and (60 min: Ct=36.95). Positive control  
140 = pHPV-16 DNA (B) Melting curve analysis of HPV-16 DNA at different times.

141

### 142 **2.3. Performance verification of DNA purification method**

143 DNA extraction, purification, and PCR efficiency have to be evaluated for each type of  
144 specimen, as these operations can be influenced by several experimental factors [33]. To  
145 monitor the DNA purification method efficiency, quantitative real-time PCR using

146 GP5+/GP6+ consensus primers was performed on a serial 10-fold dilution of HPV DNA  
147 genotypes 16, 18, 31, 33, 45, 52, and 58 ranging from  $10^{10}$  copies to 1 copy per reaction.

148 PCR efficiency was determined from the slope of the standard curve for each genotype in a  
149 separate experiment. Quantitative PCR (qPCR) efficiency for genotypes 16, 18, 45, 52, and 58  
150 were 89%, 90%, 91%, 92%, and 90% respectively which are considered acceptable for qPCR.  
151 The R<sup>2</sup> values for all genotypes were 0.99 and the lower detection limit was 10 copies of HPV  
152 DNA/reaction.

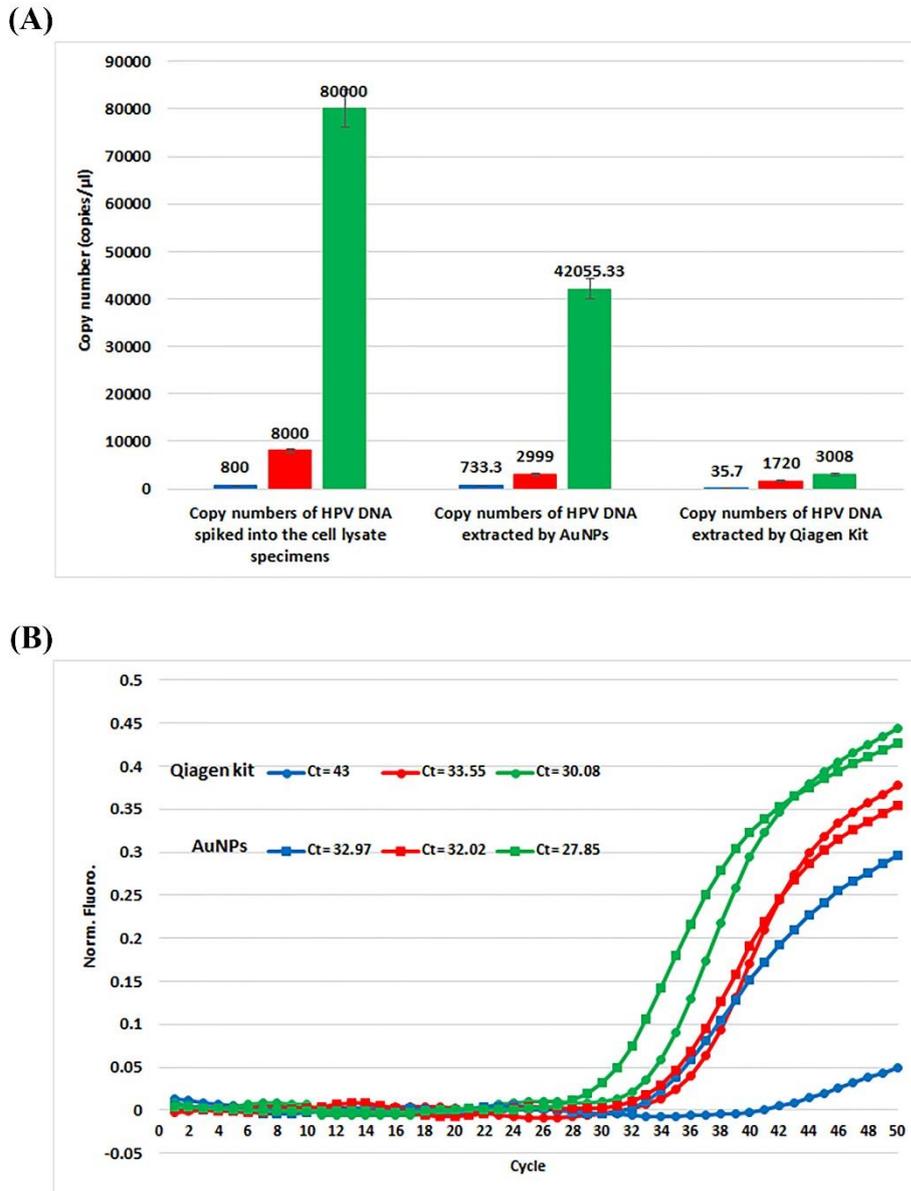
153 The current gold standard for viral load is qPCR. However, various PCR-based quantitative  
154 methods such as quantitative PCR-enzyme immunoassay [34], TaqMan probes [35], FRET  
155 probes [36], AllGlo probes [37], and more recently digital droplet PCR have been used for the  
156 detection and quantification of HPV DNA extracted from tissue samples [38]. Since the  
157 accurate limit of detection and limits of quantification of a PCR method mainly depend on the  
158 DNA extraction step, it is difficult to compare the detection limit of our method with others.  
159 Generally, in terms of detection limit, droplet digital PCR assay is highly sensitive and has the  
160 theoretical detection limit of a single copy of target DNA.

161

#### 162 **2.4. Sensitivity evaluation of the DNA extraction methods**

163 We compared our gold-nanoparticle based purification method with a standard Qiagen FFPE  
164 DNA kit, employing specimens with known HPV DNA concentrations. The AuNP probes gave  
165 rise to detection of 733 out of 800 copies (91.6%) of HPV-16 DNA, far in excess of the Qiagen  
166 kit sensitivity (35.7 of 800 copies, 4.5%, Figure 6). The threshold cycle value of DNA detection  
167 was thereby also lower for target DNA obtained from AuNPs than by Qiagen FFPE DNA kit  
168 extraction. The mean Ct value between AuNPs and the Qiagen kit in one case (blue) was as  
169 high as 10-fold differences, shown in Figure 6B. These differences are to be expected given

170 the lack of specificity in extraction by commercial tissue kit, and the targeted sequence  
 171 extraction made possible by the use of specific probes on the AuNPs.



172  
 173 **Figure 6, (A)** Comparison of DNA purification efficiency after spiking HPV DNA ( $8 \times 10^2$  to  $8 \times 10^4$ )  
 174 by AuNPs and Qiagen kit methods. **(B)** Threshold cycle (Ct) values for the amplification of the spiked  
 175 HPV DNA recovered by two methods. (green:  $8 \times 10^4$  copies/μL spiked HPV DNA, red:  $8 \times 10^3$   
 176 copies/μL spiked HPV DNA, blue:  $8 \times 10^2$  copies/μL HPV DNA).

177

178 The AuNP-probe method has the additional advantage of simpler workflow, requiring 5 steps  
179 to obtain purified HPV-DNA as a template for PCR amplification as opposed to 21 steps in the  
180 manufacturer's protocol for total DNA purification from FFPE tissue samples. In addition, the  
181 traditional DNA extraction method requires xylene pretreatment for deparaffinization, which  
182 is time-consuming and negatively affects the quality of extracted DNA. To overcome this  
183 problem, we heated paraffin sections at 120°C prior to proteinase K treatment, resulting in  
184 higher quality and quantity of DNA extract. Of course, these improvements are purchased at  
185 the cost of specificity: our method cannot isolate DNA from papillomavirus, or any other  
186 source, that does not match the probe sequences.

187

## 188 **2.5. Assessment of HR-HPV DNA purification in patient-derived samples**

189 To evaluate the performance of our method on FFPE cervical specimens, we compared FFPE  
190 HPV genotyping results with the commercially available Ampliquality HPV-TYPE genotyping  
191 assay which is based on single-step PCR and reverse line blot. Extracted DNA from 9 FFPE  
192 cervical cancer tissue samples were tested for the presence of HR-HPV genotypes by two  
193 methods. AuNPs-Probe was able to specifically isolate different HR-HPV genotypes (Table 1).  
194 There was 100% concordance when the genotypes were tested by Ampliquality HPV-TYPE  
195 genotyping assay, indicating excellent performance of AuNPs-Probe HPV DNA genotype  
196 isolation method for FFPE specimens.

197 In addition, 9 HPV negative FFPE samples from benign ovarian tumor, and 4 randomly  
198 selected FFPE tissue samples positive for low-risk HPV genotypes 6, 53, 87, and 90 previously  
199 tested with Ampliquality assay as a reference method were examined. Real-time PCR assay  
200 yielded negative results, indicating 100% specificity of the purification method. No false  
201 positive was found in negative controls by newly designed AuNPs method. Samples showed  
202 no cross-reactivity with the designed specific probes as well.

203

204 **Table 1**, Specificity of AuNPs-Probe purification method using FFPE cervical cancer tissue  
205 samples

No.	Genotype	Threshold cycle	DNA copies/reaction
1	HPV -16	33.27	$2.14 \times 10^2$
2	HPV- 16	26.67	$1.42 \times 10^4$
3	HPV-16	34.93	$7.49 \times 10$
4	HPV-16	35.23	$6.20 \times 10$
5	HPV-16	33.69	$1.65 \times 10^3$
6	HPV-18	23.22	$1.27 \times 10^5$
7	HPV-33	36.63	$2.55 \times 10$
8	HPV-45	32.76	$2.97 \times 10^3$
9	HPV-52	31.19	$8.06 \times 10^2$

206

207

208 One drawback in using AuNPs in the PCR assay is its negative effect on DNA polymerase  
209 activity, probably due to its bondage with the enzyme [39,40]. To eliminate this problem,  
210 bovine serum albumin (BSA) in the concentration of 700  $\mu\text{g/ml}$  and one unit of *Taq* DNA  
211 polymerase were added to the PCR master mix. Furthermore, the elution step was included in  
212 the procedure to separate the AuNP-Probe complex from the target DNA sequence to improve  
213 PCR efficiency [41].

214

215

216

217

### 218 **3. CONCLUSION**

219 A high yield DNA isolation method based on gold nanoparticle and probe hybridization assay  
220 was introduced to improve purification and detection of HR-HPV DNA in FFPE tissue of  
221 cervical cancer. The method was formed based on AuNPs coated with common or specific  
222 oligonucleotide probes complementary to HR-HPV L1 gene. The main strength of this method  
223 is the increased amount of purified DNA in comparison with the widely used QIAamp DNA  
224 FFPE Tissue Kit (91.6% vs. 4.5%). In addition, the method reduced number of steps in the  
225 procedure with a positive effect on the DNA yield. The developed method can be designed to  
226 specifically purify various DNA from different clinical samples. However, more samples have  
227 to be tested to evaluate its application in practice.

228

### 229 **Abbreviations**

230 HR-HPV: high-risk human papillomavirus; FFPE: formalin-fixed, paraffin-embedded;  
231 AuNPs: gold nanoparticles; IHC: immunohistochemistry; H&E: hematoxylin and eosin;  $C_{AuNP}$ :  
232 AuNPs concentration;  $OD_{260\text{ nm}}$ : optical density at 260 nm; Ct: cycle threshold; qPCR:  
233 Quantitative PCR; BSA: bovine serum albumin; ATCC: American Type Culture Collection;  
234 HD: hydrodynamic diameter; DLS: dynamic light scattering; PBS: phosphate buffer saline;  
235 SSC: saline-sodium citrate; SDS: sodium dodecyl sulfate; RCC: Research Consultation Center.

### 236 **Declarations**

### 237 **Ethics approval and consent to participate**

238 The study was approved by the Research Ethics Committee of Shiraz University of Medical  
239 Sciences.

### 240 **Consent for publication**

241 Since this is a non-interventional, retrospective, subject-anonymized study, written patient  
242 consent was not required by the Research Ethics Committee.

### 243 **Availability of data and materials**

244 The datasets used and/or analyzed in the present study are available from the corresponding  
245 authors.

### 246 **Competing Interests**

247 The authors declare no competing financial interest.

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### 251 **Author Contributions**

252 All authors discussed the results and contributed to the final manuscript.

253 Abbas Behzad-Behbahani and Fatemeh Farjadian presented the original idea and designed the  
254 study. Noorossadat Seyyedi contributed to sample preparation and carried out the experiment.  
255 Noorossadat Seyyedi, Abbas Behzad-Behbahani, and Fatemeh Farjadian contributed to final  
256 version of the manuscript. Ali Farhadi and Gholamreza Rafiei Dehbidi supervised the  
257 experimental performance. Noorossadat Seyyedi and Negin Nikouyan took the lead in writing  
258 the manuscript. Reza Ranjbaran analyzed the data. Farahnaz Zare and Mohammad Ali Okhovat  
259 carried out the experiment in the pathology section.

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267

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